

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of Robinson et al.

Serial No.: 10/840,239

Examiner: To Be Assigned

Confirmation No.: 7593

Art Unit: 2621

Filed: 05/07/2004

For: A SYSTEM AND METHOD FOR UPGRADING BIOMETRIC DATA

Commissioner for Patents
Alexandria, VA 22313-1450

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
UNDER 37 C.F.R. §1.97(b)**

In accordance with the duty of disclosure set forth in 37 C.F.R. §1.56, Applicant(s) hereby submits the following information in conformance with 37 C.F.R. §§1.97 and 1.98.

- ☐ Pursuant to 37 C.F.R. §1.98, a copy of each document cited in the attached Form PTO/SB/08 is enclosed.
- ☒ Pursuant to the U.S. Patent and Trademark Office's waiver of 37 C.F.R. §1.98(a)(2)(i) for applications filed after June 30, 2003, no copies of U.S. patents and patent applications cited in the attached Form PTO/SB/08 are being provided.
- ☐ No copies of the publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. ___ to which the above-identified application claims priority under 35 U.S.C. §120.
- ☐ Publication(s) ___ listed on the attached Form PTO/SB/08A were cited in a foreign search or examination report corresponding to ___ application serial no. and mailed on ___.
- ☐ Enclosed is a copy of a non-English publication(s) ___. Pursuant to §609 of the M.P.E.P., Applicant submits the attached foreign search or examination report, which cites such non-English language publication(s).
- ☐ Enclosed is a copy of a non-English publication(s) ___. English language publication ___ (copy enclosed) claims priority from this non-English publication.
- ☐ Enclosed is an explanation of non-English publication(s) ___ for which an English translation is not available.

- ☐ Enclosed is an English translation of non-English publication(s) ___cited in the attached Form PTO/SB/08A.
- ☐ The Examiner's attention is directed to co-pending patent Application Serial No. ___, which is directed to related technical subject matter. The identification of this U.S. Patent Application is not to be construed as a waiver of secrecy as to that application now or upon issuance of the present application as a patent. The Examiner is respectfully requested to consider the cited application and the art cited therein during examination of the present application.

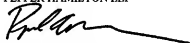
This Information Disclosure Statement is filed within any one of the following time periods:

- ☐ within three months from the filing date of this national application other than a CPA under 37 C.F.R. § 1.53(d);
- ☐ within three months from the date of entry of the national stage as set forth in 37 C.F.R. §1.491 in this international application;
- ☒ before the mailing date of a first office action on the merits; or
- ☐ before the mailing of a first office action after the filing of a request for continued examination under 37 C.F.R. § 1.114.

It is respectfully requested that the Examiner consider the above-noted information and return an initialed copy of the attached Form PTO/SB/08A to the undersigned.

Respectfully submitted,

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PTO/SB/ORA (08-00)

| Substitute for form 1449A/PTO | | | | | | <i>Complete if Known</i> | | | | | | | | | |
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| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | | | | Application Number | | | | 10/840,239 | | | | | |
| | | | | | | Filing Date | | | | 05/07/2004 | | | | | |
| | | | | | | First Named Inventor | | | | ROBINSON | | | | | |
| | | | | | | Group Art Unit | | | | 2621 | | | | | |
| | | | | | | Examiner Name | | | | To Be Assigned | | | | | |
| Sheet | | 1 | | of | | 1 | | Attorney Docket Number | | | | 129510.11801 | | | |
| U.S. PATENT DOCUMENTS | | | | | | | | | | | | | | | |
| Examiner Initials* | Cite No. ¹ | U.S. Patent Document | | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-YYYY | | | | | | | | |
| | | Number | Kind Code ² (if known) | | | | | | | | | | | | |
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| FOREIGN PATENT DOCUMENTS | | | | | | | | | | | | | | | |
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document | | | | Name of Patentee or Applicant of Cited Document | Date of Publication of Cited Document MM-YYYY | T ⁶ | | | | | | | |
| | | Office ³ | Number ⁴ | Kind Code ⁵ (if known) | | | | | | | | | | | |
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| Examiner Signature | | | | | | Date Considered | | | | | | | | | |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number

² See attached Kinds of U.S. Patent Documents.

³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).

⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.

⁶ Applicant is to place a check mark here if English language Translation is attached